## IAP12 Rec'd PCT/PTO 04 MAY 2007

PTCF 33:0 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |  | ATTORNEY'S DOCKET NUMBER<br>559502005300        |  |  |
|--|--|---|--|--|
|  |  | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) |  |  |
| INTERNATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE                | 10/589,403<br>PRIORITY DATE CLAIMED             |  |  |
| PCT/JP2005/001894 TITLE OF INVENTION   | 9 February 2005                          | 13 February 2004                                |  |  |
| DLL CIRCUIT  |  |   |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Kengo MAEDA et al.  |  |   |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |   |  |  |
| 2. x This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |   |  |  |
| 3. x This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |  |
| 4. The US has been elected (Article 31).   |  |   |  |  |
| 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))   |  |   |  |  |
| a. is attached hereto (required only if not communicated by the International Bureau).   |  |   |  |  |
| b. has been communicated by the International Bureau.  |  |   |  |  |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US).  |  |   |  |  |
| 6. x An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |   |  |  |
| a. x is attached hereto.   |  |   |  |  |
| b. has been previously submitted under 35 U.S.C. 154(d)(4).  |  |   |  |  |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |   |  |  |
| a. are attached hereto (required only if not communicated by the International Bureau).  |  |   |  |  |
| b. have been communicated by the International Bureau.   |  |   |  |  |
| c. have not been made; however, the time limit for making such amendments has NOT expired.   |  |   |  |  |
| d. have not been made and will not be made.  |  |   |  |  |
| An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |   |  |  |
| 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |  |  |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                         |  |   |  |  |
| Items 11 to 20 below concern document(s) or information included:  |  |   |  |  |
| 11. An Information Disclosure Statem   | ent under 37 CFR 1.97 and 1.98.          |   |  |  |
| 12. An assignment document for record  | ding. A separate cover sheet in complia  | ance with 37 CFR 3.28 and 3.31 is included.     |  |  |
| . A preliminary amendment.   |  |   |  |  |
| 4. An Application Data Sheet under 37 CFR 1.76.  |  |   |  |  |
| . A substitute specification.  |  |   |  |  |
| 6. A power of attorney and/or change of address letter.  |  |   |  |  |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.   |  |   |  |  |
| 8. A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |  |   |  |  |
| 19. A second copy of the English langu   | uage translation of the international ap | oplication under 35 U.S.C. 154(d)(4).           |  |  |
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Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2005/001894 10/589,403 559502005300 Other items or information: Copy of the April 23, 2007 Notification of Missing Requirements (2 pages), Change of Correspondence Address (1 page), and Return Receipt Postcard The following fees have been submitted **CALCULATIONS PTO USEONLY** Basic national fee (37 CFR 1.492(a)) ...... \$300 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 \$ Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$ International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations. TOTAL OF 21, 22 and 23 = \$ 0.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = x \$250.00 Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE Total claims 18 - 20 = 0 X 50.00 0.00 Independent claims 6-3= 3 x 200.00 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360.00 360.00 TOTAL OF ABOVE CALCULATIONS = 1,090.00 \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 1.090.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 130.00 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 1,090.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ TOTAL FEES ENCLOSED = \$ 1.220.00 Amount to be \$ refunded: Amount to be \$ charged

PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0024 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. A check in the amount of \$ to cover the above fees is enclosed. 03-1952 in the amount of \$ Please charge my Deposit Account No. 1,220.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit 03-1952 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: TURE Barry E. Bretschneider NAME CUSTOMER NUMBER: 25227 28,055 REGISTRATION NUMBER 85/88/2007 GFREY1 888800032 831952 10589403 01 FC:1617 130.00 DA 600.00 DA 360.00 DA 02 FC:1614 03 FC:1616 130.00 DA 04 FC:1618

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/589,403 Kengo Maeda 559502005300 MORRISON & FOERSTER LLP 27 2007 755 PAGE MILL RD PALO ALTO, CA 94304-1018 APR 2 7 2007 Aprison & Foerster, LLP INTERNATIONAL APPLICATION NO. PCT/JP05/01894 I.A. FILING DATE PRIORITY DATE 02/09/2005 02/13/2004

MAY 11 1 2007

**CONFIRMATION NO. 8227 371 FORMALITIES LETTER** 

\*OC000000023497210\*

Date Mailed: 04/23/2007

## MORRISON & FOERSTER LLP NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/14/2006
- Copy of the International Search Report filed on 08/14/2006
- Preliminary Amendments filed on 08/14/2006
- U.S. Basic National Fees filed on 08/14/2006
- Priority Documents filed on 08/14/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/589,403                  | PCT/JP05/01894                | 559502005300     |

FORM PCT/DO/EO/905 (371 Formalities Notice)